

P.S.C. Ky No. 7

Cancels P.S.C. Ky No. 6

SHELBY RURAL ELECTRIC COOPERATIVE CORPORATION

of

SHELBYVILLE, KENTUCKY 40065

RATES, RULES AND REGULATIONS FOR FURNISHING

at

Counties of: Shelby, Henry, Trimble, Carroll, Owen, Franklin,

Oldham, Jefferson, Spencer, and Anderson

Filed with PUBLIC SERVICE COMMISSION OF KENTUCKY

Issued April 30, 1997

Effective April 30, 1997

**PUBLIC SERVICE COMMISSION
OF KENTUCKY
EFFECTIVE**

APR 30 1997

**PURSUANT TO 807 KAR 5:011,
SECTION 9(1)**

BY: Stephan D. Bell
SECRETARY OF THE COMMISSION

Issued By Shelby Rural Electric Cooperative Corp.
(Name of Utility)

By Dudley Bottom, Jr.
Dudley Bottom, Jr.

President and General Manager

ck/a

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Original Sheet No.

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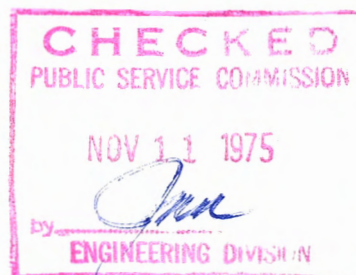
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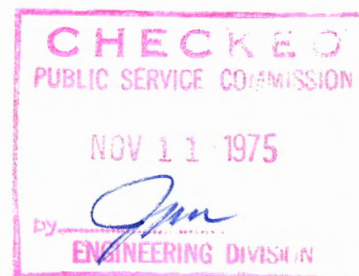
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9. APPLICATION OF ELECTRIC SERVICE

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BY: Stephan D. Bell
SECRETARY OF THE COMMISSION

Each prospective member and/or spouse desiring electric service will be required to sign the Cooperative's form of "Application for Membership and Electric Service". Also, where applicable, the prospective consumer must sign a contract pertaining to their particular service. The prospective consumer must provide the Cooperative with all necessary electrical permits and fully executed right-of-way easements for his/her property, and all necessary load information at the time of application for service. The consumer may be required to furnish his/her Social Security number, phone number, permanent address, place of employment, and name of spouse.

10. MEMBERSHIP FEE

The Membership fee in the Cooperative shall be twenty-five dollars (\$25.00). No member may hold more than one membership in the Cooperative. Discontinuance of service will automatically terminate the membership, and the membership fee will be refunded or applied against any unpaid bills of the member. Membership fees are not transferable from one member to another.

11. CONTINUITY OF SERVICE

The Cooperative shall use reasonable diligence to provide a constant and uninterrupted supply of electrical power and energy, but if such a supply should fail or be interrupted or become defective through an act of God, or the public enemy, or by accident, strikes or labor troubles, or by action of the elements, or other permits needed, or for any other cause beyond the reasonable control of the Cooperative, the Cooperative shall not be liable.

12. NON-STANDARD SERVICE

(T) The member or consumer shall pay the cost of any special installation necessary to meet his/her requirements for service at other than standard voltage, or for the supply of closer voltage regulation than required by standard practices.

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ISSUED BY Dudley Bottom Jr. President & General Mgr. Shelbyville, KY 40065
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Stephan

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13. BILLING

The Cooperative's billing period and billing procedures are as specified in the applicable rate schedule/tariff for electric service. Failure to receive the bill will not release the consumer from payment obligation.

14. DEPOSITS

The Cooperative may require a minimum cash deposit or other guaranty to secure payment of bills except for consumers qualifying for service reconnection pursuant to 807 KAR 5:006, Section 15, Winter Hardship Reconnection. Service may be refused or discontinued for failure to pay the requested deposit. Interest, as prescribed by KRS 278.460, will be paid annually either by refund or credit to the consumer's bill, except that no refund or credit will be made if the consumer's bill is delinquent on the anniversary date of the deposit.

The deposit may be waived upon a consumer's showing of satisfactory credit or payment history, and required deposits will be returned after one (1) year if the consumer has established a satisfactory payment record for that period. If a deposit has been waived or returned and the consumer fails to maintain a satisfactory payment record, a deposit may then be required. The Cooperative may require a deposit in addition to the initial deposit if the consumer's classification of service changes or if there is a substantial change in usage. Upon termination of service, the deposit, any principal amounts, and any interest earned and owing will be credited to the final bill with any remainder refunded to the consumer.

Residential/Commercial consumer's deposit shall be based upon actual usage of the consumer at the same or similar premises for the most recent 12-month period, if such information is available. If usage information is not available, the deposit will be based on the average bills of similar consumers and premises in the system. The deposit amount shall not exceed 2/12 of the consumer's actual or estimated annual bill where bills are rendered monthly.

In determining whether a deposit will be required or waived, the following criteria will be considered:

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SECRETARY OF THE COMMISSION

14. DEPOSITS (continued)

- 1. Previous payment history with the Cooperative. If the consumer has no previous history with the Cooperative, statements from other utilities, banks, etc. may be presented by the consumer as evidence of good credit.
- 2. Whether the consumer has an established income or line of credit.
- 3. Length of time the consumer has resided or been located in the area.
- 4. Whether the consumer owns property in the area.
- 5. Whether the consumer has filed bankruptcy proceedings within the last seven years.
- 6. Whether another consumer with a good payment history is willing to sign as a guarantor for an amount equal to the required deposit.

If a deposit is held longer than 18 months, the deposit will be recalculated at the consumer's request based on the consumer's actual usage. If the deposit on account differs from the recalculated amount by more than \$10.00 for a residential consumer or 10% for a non-residential consumer, the Cooperative may collect any underpayment and shall refund any overpayment by check or credit to the consumer's bill. No refund will be made if the consumer's bill is delinquent at the time of the recalculation.

15. LEVELIZED (BUDGET) BILLING

(T) Levelized/Budget Billing is available to all residential customers. The Levelized Payment Plan for each account is determined by:

(T) 1. A. For consumers on service with twelve (12) month's usage history, the charges for the past twelve (12) month's usage will be totaled.

(T) For consumers with less than twelve (12) month's usage history, the available monthly charges for usage plus monthly charges from previous usage history to equal twelve (12) consecutive month's usage will be totaled. If no previous usage history is available, the remaining month's charges will be estimated by the Cooperative based on the electric needs of the service location.

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SECRETARY OF THE COMMISSION

15. LEVELIZED (BUDGET) BILLING (continued)

(T) 1. A. For new consumers connecting to an established service location, charges for
(T) twelve (12) consecutive month's usage from previous usage history will be
(T) totaled. If no previous usage history is available, the charges will be estimated by
(T) the Cooperative based on the electric energy needs of the service location.

(T) For consumers connecting to a new service location, the charges will be estimated
(T) by the Cooperative based on the electric energy needs of the service location.

(T) B. Dividing this total by twelve (12). This amount will then be the monthly payment
(T) for the account. Accounts with estimated payments will be monitored monthly
(T) and adjusted if usage indicates that the account will not be current upon payment
(T) of last budget amount.

(T) C. Each month the twelfth (12th) month of charges is dropped and the current month
(T) of charges added, keeping a twelve (12) month current average, thereby never
(T) having a catch-up month. Estimated accounts will be monitored monthly and
(T) adjusted if usage indicates that the account will not be current upon payment of
(T) last budget amount.

(T) 2. Each monthly bill will reflect the actual amount of electricity used and the status of the
member's account, including the current amount to be paid.

(T) 3. Consumer agrees to make monthly payments when due or the agreement will be voided
(T) and the total balance, if any, will be due and payable.

(T) 4. The agreement remains in effect until cancelled by the consumer or the Cooperative or by
the member's failure to make payments as outlined above.

16. PARTIAL PAYMENT PLAN

(T) Consumers who are unable to pay their bills may come to the office during regular office hours
and make arrangements for a partial payment plan and retention of service. The consumer must

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ISSUED BY Dorothy Bolton J. President & General Mgr. Shelbyville, KY 40065
Name of Officer Title Address

Handwritten initials/signature

Shelby Rural Electric Cooperative Corporation
Shelbyville, Kentucky

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BY: Stephan D. Bell
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16. PARTIAL PAYMENT PLAN (continued)

- (T) appear in person within the ten (10) day notice period to negotiate the partial payment plan to avoid collection of the bill or disconnection of the service.
- (T) The Cooperative shall negotiate and accept reasonable partial payment plans. The partial payment plan shall be mutually agreed upon and permit the consumer to become current in payment of the account within a timely manner. Should the partial payment plan extend for a period of longer than 30 days, the plan must be in writing and signed by the consumer. In addition to the payment of the current bill, the consumer may be offered an option of payment arrears by one (1) payment per month or more than one (1) payment per month. The consumer will be allowed to make additional payments or larger payments, at any time, in order to become current. The Cooperative will not negotiate a partial payment plan with a consumer who is delinquent under a previous partial payment plan.

17. CERTIFICATE OF NEED FROM DEPARTMENT FOR SOCIAL INSURANCE

Upon written certification from one of the Kentucky Department for Social Insurance offices, a consumer who is eligible for energy assistance under the Department's guidelines or is certified as being in genuine financial need, defined as any household with gross income at or below 130% of the poverty level, and who has been given a ten (10) day notice for nonpayment of his/her electric bill rendered between November 1 and March 31 and who presents such notice to the Department for Social Insurance, shall be allowed thirty (30) days in addition to such ten (10) day notice period in which to negotiate a partial payment plan with Shelby RECC provided such certification is delivered to Shelby RECC during the initial ten (10) day notice period by the applicant in person, by his/her agent, by mail, or by telephone call from an employee of the Department of Social Insurance. The thirty (30) day period shall begin to run at the end of the tenth day of the ten (10) day period.

(T) When the consumer exhibits good faith by offering to make a present payment commensurate with his or her ability to do so and by agreeing to a repayment schedule which would permit the consumer to become current in the payment of his or her electric bill in a timely manner but no later than October 15, Shelby RECC will accept such partial payment plan. Shelby RECC

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BY: Stephen Bue
SECRETARY OF THE COMMISSION

17. CERTIFICATE OF NEED FROM DEPARTMENT FOR SOCIAL INSURANCE (continued)

(T) will also inform the consumer on the reverse side of the (10) day notice of the telephone number and address of the nearest office of the Kentucky Cabinet for Human Resources, Department of Social Insurance.

18. LOCATION OF METERS

(T) Meters shall be easily accessible for reading, testing, and making necessary adjustments and repairs and shall be located at a site designated by Shelby RECC.

19. MONITORING OF CONSUMER USAGE

(T) If a customer is discovered to have an unusual deviation in his/her monthly usage, the Cooperative will contact that customer to investigate that unusual deviation and offer energy auditing services to assist in determining the source of the deviation.

(T) The Cooperative utilizes computer programs to flag unusual deviations in a consumer's usage on consumer accounts. KWH readings are also compared to the previous month's reading to assure that the new reading is at least greater than the previous reading. Any extremely large variations are also marked at this time.

The computer programs used to monitor unusual deviations are:

A. Data Entry Exception History: Immediately upon completion of data entry of new kWh readings, an exception listing appears which flags any readings that do not conform to previously set standards. Those standards are:

1. Attempt to enter a five digit reading to an account where only a four dial meter is installed.
- (T) 2. A reading which would generate a bill for over 5,000 kWh for all accounts.
3. A reading which is lower than the previous month's reading.

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Shelby Rural Electric Cooperative Corporation

Original Sheet No. 8.1

Shelbyville, Kentucky

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21.1 COLLECTION OF DELINQUENT ACCOUNTS (CONT'D)

In the event a member is disconnected for non-payment of a delinquent account and requests reconnection during regular working hours, a \$50.00 service call charge shall be collected for the two trips. After regular working hours, there will be a special charge in the amount of \$50.00 in addition to the \$25.00 service call charge.

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BY: Chas. Sallee
PUBLIC SERVICE COMMISSION MANAGER

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	Month	Day	Year	Month	Day	Year
ISSUED BY	<u>Thomas Barber Jr</u>		<u>President & General Manager</u>	<u>Shelbyville, KY 40066</u>		
	Name of Officer		Title	Address		

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Shelby Rural Electric Cooperative Corporation
Shelbyville, Kentucky

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BY: Stephan O. Bell
SECRETARY OF THE COMMISSION

22. CHECKS RETURNED - UNHONORED BY BANK

(T) When a check received in payment of a consumer's account is returned unpaid by the bank for
(T) any reason, the Cooperative will notify such consumer by letter, stating the amount of the check
(T) and reason for its return. Returned checks will then be considered the same as a delinquent
(T) account, and if payment in full is not received for the check within ten (10) business days after
(T) notification, service may be discontinued as prescribed under the section of Rules #16, #17, #21,
(T) and #25 dealing with unpaid accounts. A \$10.00 service charge shall be added to all returned
(T) unhonored checks. The Cooperative shall have the right to refuse to accept checks in payment of
(T) an account from any consumer that has demonstrated poor credit risk.

23. TAMPERING

If meters or other property belonging to the Cooperative are tampered or interfered with, the consumer being supplied through such equipment shall pay the amount which the Cooperative may estimate is due for service rendered, but not registered on the Cooperative's meter and for such replacement and repairs as are necessary, as well as for costs of inspection, investigation, and protective installations.

24. NOTICE OF TROUBLE

The consumer shall give immediate notice at the office of the Cooperative of any interruption or irregularities or unsatisfactory service and of any defects known to the consumer.

The Cooperative may, as it deems necessary, suspend supply of electrical energy to any consumer or consumers for the purpose of making repairs, changes or improvements upon any part of its system.

25. DISCONTINUANCE AND REFUSAL OR TERMINATION OF SERVICE

Any member desiring service discontinued or changed from one address to another shall give the Cooperative three (3) days' notice, in person, writing, or telephone, provided such notice does not violate contractual obligations or tariff provisions. The consumer shall not be responsible for charges for service beyond the three (3) day notice period if the consumer provides reasonable

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25. DISCONTINUANCE AND REFUSAL OR TERMINATION OF SERVICE (continued)

access to the meter during the notice period. If the consumer notifies the utility of their request for termination by telephone, the burden of proof is on the consumer to prove that service termination was requested if a dispute arises.

The Cooperative may refuse or discontinue to serve an applicant or consumer under the following conditions:

- A. For noncompliance with its Rules and Regulations. However, the Cooperative shall not discontinue or refuse service to any consumer or applicant for violation of its Rules and Regulations without first having made reasonable effort to induce the consumer or applicant to comply with the Rules and Regulations as filed with the Public Service Commission. After such effort on the part of the Cooperative, service may be disconnected or refused only after the member shall have been given at least ten (10) days written notice of such intention, mailed to his/her last known address.
- B. When a dangerous condition is found to exist on the member's or applicant's premises, the service shall be discontinued without notice or refused, provided that the Cooperative notify the consumer or applicant immediately of the reasons for the discontinuance or refusal and the corrective action to be taken by them before service can be installed or restored.
- (T) C. When a consumer or applicant refuses or neglects to provide reasonable access to the premises for the purposes of installation, operation, meter reading, maintenance, or removal of the Cooperative's property, employees or agents of the Cooperative may discontinue or refuse service only after the consumer or applicant shall have been given at least fifteen (15) days written notice of such intention.
- (T) D. The Cooperative may not furnish service to any applicant when such applicant is indebted to the Cooperative for service furnished until such applicant shall have paid such indebtedness or negotiated a satisfactory agreement.
- (T)

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25. DISCONTINUANCE AND REFUSAL OR TERMINATION OF SERVICE (continued)

E. The Cooperative may refuse or discontinue service to a member or applicant if the consumer or applicant does not comply with state, county, or other codes, rules, and regulations applying to such service.

F. THE COOPERATIVE MAY DISCONTINUE SERVICE UNDER THE FOLLOWING CONDITIONS:

- (T) For non-payment of bills. However, the Cooperative shall not discontinue service to any member for non-payment of bills (including extra charges) without first having made reasonable effort to induce the member to pay same. The consumer shall be given at least ten (10) days written notice, but the disconnect shall not be effective for twenty-seven (27) days after the mailing date of the original bill. Such termination notice shall be exclusive of and separate from the original bill. If, prior to discontinuance of service, there is delivered to the Cooperative office or to its employees empowered to discontinue service, payment of the amount in arrears, the discontinuance of service shall not be made, or where a written certificate is filed signed by a physician, a registered nurse, or a public health officer, stating that in the opinion of the person making the certification, discontinuance of service will aggravate an existing illness or infirmity on the affected premises, service shall not be discontinued until the affected resident can make other living arrangements or until thirty (30) days elapse from the time of the Cooperative's notification to the member in writing of the existence of local, state, and federal programs providing for the payment of the Cooperative bills under certain conditions and of the offices to contact for such possible assistance. The written notice for any discontinuance of service shall advise the consumer of his/her rights to dispute the reasons for such discontinuance.

26. INSPECTIONS

- (T) Shelby Rural Electric Cooperative Corporation shall not initiate new permanent electric service until the required certificate of approval has been issued by a certified electrical inspector, as required by local or state laws.

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BY: Stephan D. Bell
SECRETARY OF THE COMMISSION

27. TEMPORARY SERVICE

(T) A member or consumer requesting temporary service may be required to pay all cost of construction, removing, connecting, and disconnecting service. Construction contractors, camps, campsites, barns, barnsites, sawmills, oil wells, carnivals, fairs, camp meetings, etc., after making application for service, will be provided service after they pay an advance fee equal to the reasonable cost of constructing and removing such facilities along with a deposit for estimated kWh usage.

(T) Upon termination of temporary service, the payment paid on estimated usage will be adjusted to actual usage and either a refund or additional billing will be issued to such temporary member or consumer.

(T) Temporary service used for such construction may only be utilized for a period not to exceed twelve (12) months after which time the service will be disconnected unless a written extension of time is obtained from the Cooperative.

28. CONSUMER'S LIABILITY

(T) The consumer shall assume full responsibility for service upon his/her premises at and from the point of delivery thereof, and for wires, apparatus, devices, and appurtenances thereon used in connection with service. Consumer shall indemnify, hold harmless and defend the Cooperative against all claims, demands, cost or expense for loss, damage, or injury to persons or property in any manner directly or indirectly arising from, connected with, or growing out of the transmission or use of electricity by consumer at or on the consumer's side of point of delivery.

29. PROTECTION OF THE COOPERATIVE EQUIPMENT

(T) The consumer shall protect the equipment of the Cooperative on his/her premises and shall not interfere with or alter or permit interference with or alteration of the Cooperative's meter or other property except by duly authorized representatives of the Cooperative.

For any loss or damage to the property of the Cooperative due to or caused by or arising from carelessness, neglect, vandalism, or misuses by the consumer or other unauthorized persons, the

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ISSUED BY Dusty Patton, Jr. President & General Mgr. Shelbyville, KY 40065
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29. PROTECTION OF THE COOPERATIVE EQUIPMENT (continued)

cost of the necessary replacement and repairs shall be paid for by the consumer. After proper negotiations with the Cooperative and if unable to reach an agreement, a court of law having jurisdiction over the parties shall determine the cost.

30. POINT OF DELIVERY

1. A. Approval shall be obtained from the Cooperative as to the proper location for a service entrance.
 - B. Service entrances will be located on the exterior of the building nearest to the Cooperative's lines. For members desiring service entrances on any building at a location other than that closest to the Cooperative's lines, the additional estimated cost of such special construction as may be found necessary shall be borne by the member, and such cost shall be paid in advance before service can be connected.
 - (T) C. Service entrances, both overhead and underground, shall be installed in accordance with applicable codes, and the Cooperative shall not connect until the required certificate of compliance has been issued by the required certified electrical inspector. In event of an emergency, a temporary waiver may be issued by the Cooperative to allow connection pending completion of electrical inspection.
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 - (T)
 - (T)
 - (T)
2. Point of service (or delivery) shall be that point where the facilities of the Cooperative join the member's facilities, irrespective of the location of the meter, and will normally be at the weatherhead on overhead lines and at the meter base on underground service. All wiring and equipment beyond this point of service shall be supplied and maintained by the member. The member will, however, notify the Cooperative of any proposed changes in his equipment or wiring which will materially increase or decrease his load so the Cooperative may check its equipment to make certain it will accommodate the member's load requirements.

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Shelby Rural Electric Cooperative Corporation
Shelbyville, Kentucky

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PURSUANT TO 807 KAR 5:011,
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BY: Stephan O. Bell
SECRETARY OF THE COMMISSION

31. RESALE OF POWER BY MEMBERS

All purchased electric service used on the premises of the member shall be supplied exclusively by the Cooperative, and the member shall not directly or indirectly sell, sublet, or otherwise dispose of the electric service or any part thereof, except by written contract approved by the Board of Directors of this Cooperative.

32. METER BASES

Meter bases are provided by the Cooperative for all normal new services and all normal service upratings. Special designs or unusual situations may require a contribution from the member.

33. METER READING

- (T) The Cooperative will provide meter reading services to all consumer accounts in accordance with the rates and tariffs filed with the Public Service Commission.

34. SEPARATE METER FOR EACH SERVICE

- (T) The Cooperative will normally furnish a single meter at the point of connection to the consumer's premises. Any consumer desiring service at two or more separately metered locations of connection to the system shall be billed separately at each point and the registration of such meters shall not be added for billing purposes, except by written contract approved by the Board of Directors of the Cooperative. Only one residence may be served for each meter location.

35. FRAUDULENT USE

- (T) When the Cooperative has discovered evidence that by fraudulent or illegal means, a consumer has obtained unauthorized service or has diverted the service for unauthorized use or has obtained same without being properly measured, the service to the consumer may be disconnected immediately and without notice. Within 24 hours after such termination, the utility shall send written notification to the consumer of the reasons for termination or refusal of service and of the consumer's right to challenge the termination by filing a complaint with the Public

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IN RESPONSE TO KRS 5.011,
SECTION 9 (1)

BY: Stephan Bue
SECRETARY OF THE COMMISSION

35. FRAUDULENT USE (continued)

(T) Service Commission. The Cooperative shall not be required to restore service until the Cooperative has been reimbursed for the estimated amount of service rendered and the cost incurred by fraudulent use.

It shall be the duty of the Cooperative before making service connections to a new consumer to ascertain the condition of the meter and service facilities for such consumer in order that prior fraudulent use of the facilities, if any, will not be attributed to the new consumer, and the new consumer shall be afforded the opportunity to be present at such inspection. The Cooperative shall not be required to render service to such consumer until all defects in the consumer-owned portion of the service, if any, have been corrected.

36. METER POLE

(T) The Cooperative may, upon request, serve a meter pole to be wired by the consumer. The electrical load should be sufficient to justify at least a 200 ampere service. A means of disconnect satisfying National Electric Code requirements shall be installed on the load side of the meter base. The meter pole and all equipment on said pole, exclusive of the meter shall be installed and owned by the consumer. The pole location shall be determined by the Cooperative and the service must comply with the applicable codes and be inspected as required by state and local laws.

37. RELOCATION OF LINES

When the Cooperative is requested or required to relocate its facilities for any reason, any expense involved will be paid by the firm, person, or persons requesting the relocation, unless one or more of the following conditions are met:

- A. The relocation is made for the convenience of the Cooperative.
- B. The relocation will result in a substantial improvement in the Cooperative's facilities.

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BY: Stephan D. Bell
SECRETARY OF THE COMMISSION

37. RELOCATION OF LINES (continued)

C. That the relocation is associated with other regularly scheduled conversion or construction work and can be done at the same time.

38. A. NORMAL SERVICE EXTENSIONS

(T)

An extension to a permanent residence of one thousand (1,000) feet or less shall be made by Shelby RECC to its existing distribution line without charge for a prospective member who shall apply for and agree to use the service for one year or more and provides guarantee for such service. The "service drop" to the structure from the distribution line at the last pole shall not be included in the foregoing measurements. This distribution line extension shall be limited to service where installed transformer capacity does not exceed 25 KVA. Any extensions to a consumer who may require polyphase service or whose installed transformer capacity will exceed 25 KVA will be required to pay in advance additional cost of construction which exceeds that for a single phase line where the installed transformer capacity does not exceed 25 KVA.

38. B. OTHER EXTENSIONS

1. When an extension of Shelby RECC's line to service a member or a group of members amounts to more than 1,000 feet per member, the total cost of the excessive footage over 1,000 feet per member shall be deposited by the applicant or applicants based on the estimated cost of the total extension.
2. Each member receiving service under such extension will be reimbursed under the following plan:

(T)

Each year for a period of ten (10) years, which for the purpose of this rule shall be the refund period, the Cooperative shall refund to the member or members who paid for the excess footage the cost of 1,000 feet of the extension in place for each additional member connected during the year whose service line is directly connected to the extension installed and not to extensions or laterals therefrom, but in no case shall the total

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38. B. OTHER EXTENSIONS (continued)
2. amount refunded exceed the amount paid the Cooperative. After the end of the refund period, no refund will be required to be made. For additional consumers connected to an extension or lateral from the distribution line, the Cooperative shall refund to any consumer who paid for excessive footage the cost of 1,000 feet of line less the length of the lateral or extension.
3. An applicant desiring an extension to a proposed real estate subdivision may be required to pay the entire cost of the extension. Each year for a period of ten (10) years, the Cooperative shall refund to the applicant who paid for the extension, a sum equivalent to the cost of 1,000 feet of the extension installed for each additional member connected during the year; but in no case shall the total amount refunded exceed the amount paid to the Cooperative. After the end of the refund period from the completion of the extension, no refund will be required to be made.
- (T)
4. The member may elect to clear the right-of way to reduce his cost of connection.

39. LINE EXTENSION TO MOBILE HOMES

- (T) A. All extensions up to 300 feet from the nearest facility shall be made without charge, except for the \$25.00 membership fee required of all consumers. The membership fee is refundable upon disconnection of service, after all bills against the account are paid.
- B. All required fee charges and advances shall be paid before construction begins, and mobile home must be set in place before service can be extended.
- (T) C. The consumer shall install and own the meter pole and it shall meet the requirements of the applicable codes and shall be located at a site designated by Shelby RECC.

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BY: *Stewart Bell*
SECRETARY OF THE COMMISSION

39. LINE EXTENSION TO MOBILE HOMES (continued)

(T) D. For extensions greater than 300 feet and less than 1,000 feet from the nearest facility, the Cooperative will charge a Consumer Advance For Construction (CAFC) based on the cost of construction for the portion of service beyond 300 feet, up to 1,000 feet plus the \$25.00 membership fee.

(T) 1. The CAFC shall be refunded to the consumer over a four year period in equal amounts for each year the service is continued, and the start of the period refund begins with the initial billing date.

(T) 2. If the service is disconnected for a period of sixty (60) days or should the mobile home be removed and another not take its place or be replaced by a permanent structure, the remainder of the CAFC shall be forfeited.

(T) 3. No refunds shall be made to any consumer who did not make the CAFC originally.

4. The member may elect to clear the right-of-way to reduce his cost of connection.

(T) E. For extensions greater than 1,000 feet the provisions, as stated in Part D, apply to the first 1,000 feet. For that portion of the line over 1,000 feet, the utility will charge the consumer the cost of construction for that portion of service beyond 1,000 feet. The deposit for that portion over 1,000 feet is subject to refund as follows:

(T) 1. Each year for a period of ten (10) years, which shall be the refund period, for that portion over 1,000 feet the provisions of (38B) will apply.

(T) F. Mobile home meter poles shall be wired and inspected according to the applicable codes and shall be wired and inspected at the expense of the consumer.

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BY SECRETARY OF THE COMMISSION

39. LINE EXTENSION TO MOBILE HOMES (continued)

- (T) G. Any consumer who puts up a mobile home line extension CAFC and replaces his mobile home with a permanent residence which is connected directly to the line for which the CAFC was made, shall be refunded the CAFC upon terms and conditions of the normal service extension policy for permanent residence.

40. ELECTRIC SERVICE TO CAMPS AND BARN, PUMPS, ETC.

- (T) A. Before construction begins to a permanent camp, campsite, barn, or barnsite, or other services with low usages, the consumer shall have paid a \$25.00 membership fee. The membership fee is refundable upon disconnection of service, after all bills against the account are paid. Low usage is any usage pattern that is substantially less than that of an average permanent single family residence.
- (T) B. All required fee charges and advances shall be paid before construction begins.
- (T) C. All extensions up to 300 feet from the nearest facility shall be made without charge, provided the consumer shall pay the charges required by the Cooperative for all consumers, which shall be the \$25.00 membership fee.
- (T) D. For extensions greater than 300 feet and less than 1,000 feet from the nearest facility, the Cooperative will charge a Consumer Advance for Construction (CAFC) based on the cost of construction for the portion of service beyond 300 feet, up to 1,000 feet plus the \$25.00 membership fee. The deposit for that portion greater than 300 feet and less than 1,000 feet is subject to refund as follows:
- (T) 1. The CAFC shall be refunded to the consumer over a four year period in equal amounts for each year the service is continued, and the start of the period refund begins with the initial billing date.
- (T) 2. If the service is disconnected for a period of sixty (60) days or should the service be removed and another not take its place or be replaced by a permanent structure, the remainder of the CAFC shall be forfeited.

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40. ELECTRIC SERVICE TO CAMPS AND BARNs, PUMPS, ETC. (continued)

- (T) D. 3. No refunds shall be made to any consumer who did not make the CAFC originally.
4. The member may elect to clear the right-of-way to reduce his cost of connection.
- (T) E. For extensions greater than 1,000 feet the provisions, as stated in Part D, apply to the first 1,000 feet. For that portion of the line over 1,000 feet, the utility will charge the consumer the cost of construction for that portion of service beyond 1,000 feet. The deposit for that portion over 1,000 feet is subject to refund as follows:
- (T) Each year for a period of ten (10) years, which shall be the refund period, for that portion over 1,000 feet the provisions of (38B) will apply.
- (T) F. Any consumer who puts up a service line extension CAFC and replaces this service with a permanent residence which is connected directly to the line for which the CAFC was made, shall be refunded the CAFC upon terms and conditions of the normal service extension policy for permanent residence.

41. UNDERGROUND ELECTRIC SERVICE

- (T) The purpose of this policy is to formulate requirements for underground electrical service for individuals and subdivisions, the application of which will insure adequate service and safety to all persons engaged in the construction, maintenance, operation, and use of underground facilities and to the public in general.
- A. Applicability - This policy shall only apply to underground electrical supply facilities used in connection with electric distribution within the definitions set out herein.
- B. Definitions - The following words and terms, when used in this policy, shall have the meaning indicated:

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BY: SEPHIA O'NEILL
SECRETARY OF THE COMMISSION

41. UNDERGROUND ELECTRIC SERVICE (continued)

B. Applicant - The developer, builder, or other person, partnership, association, corporation, or governmental agency applying for the installation of an underground electric distribution system.

Building - A structure enclosed within exterior walls or fire walls built, erected, or framed of component structural parts and designed for less than five (5) family occupancy.

Multiple-Occupancy Building - A structure enclosed within exterior walls or fire walls, built, erected, and framed of component structural parts and designed to contain five (5) or more individual dwelling units.

Distribution System - Electric service facilities consisting of primary and secondary conductors, transformers, and necessary accessories and appurtenances for the furnishing of electric power at utilization voltage.

Subdivision - The tract of land which is divided into ten (10) or more lots for the construction of new residential buildings, or the land on which is constructed two (2) or more new multiple occupancy buildings.

Commission - The Public Service Commission

(T)
(T)

Trenching and Backfilling - Opening and preparing the ditch for the installation of conduits, raceways, and conductors, providing a sand bedding when required, and the compacting and backfilling of trench to ground level.

C. Right-of-Way and Easements

(T)

1. The Cooperative shall construct or cause to be constructed, own, operate, and maintain distribution lines only along easements, public streets, roads, and highways which are by legal right accessible to the Cooperative's equipment and which the Cooperative has the legal right to occupy, and the public lands and

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BY: Stephan Bill
SECRETARY OF THE COMMISSION

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41. UNDERGROUND ELECTRIC SERVICE (continued)

- C. 1. private property across which rights-of-way and easements satisfactory to the Cooperative are provided without cost or condemnation by the Cooperative.
2. Rights-of-way and easements suitable to the Cooperative for the underground distribution facilities must be furnished by the applicant in reasonable time to meet service requirements. The applicant shall make the area in which the underground distribution facilities are to be located accessible to the Cooperative's equipment, remove all obstructions from such area, stake to show property lines and final grade, perform rough grading to a reasonable approximation of final grade, and maintain clearing and grading during construction by the Cooperative. Suitable land rights shall be granted to the Cooperative, obligating the applicant and subsequent property owners to provide continuing access to the Cooperative for operation, maintenance, or replacement of its facilities, and to prevent any encroachment in the Cooperative's easement including changes in grade or elevation thereof.

(T)

D. Installation of Underground Distribution System Within New Subdivision

1. Where appropriate contractual arrangements have been made, the Cooperative shall install within the subdivision an underground electric distribution system of sufficient capacity and suitable materials which, in its judgment will assure that the property owners will receive safe and adequate electric service for the foreseeable future.
2. All single-phase conductors installed by the utility shall be underground. Appurtenances such as transformers, pedestal-mounted terminals, switching equipment, and meter cabinets may be placed above ground.
3. Three-phase primary mains or feeders required within a subdivision to supply local distribution or to serve individual three-phase loads may be overhead unless underground is required by governmental authority or chosen by the applicant, in

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BY: Stephan D. Bell

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41. UNDERGROUND ELECTRIC SERVICE (continued)

D. Installation of Underground Distribution System Within New Subdivision

3. either of which case the differential cost of underground shall be borne by the applicant.
4. If the Applicant has complied with the requirements herein and has given the Cooperative not less than 120 days written notice prior to the anticipated date of completion (i.e., ready for occupancy) of the first building in the subdivision, the Cooperative shall complete the installation 30 days prior to the estimated completion date. (Subject to weather and ground conditions and availability of materials and barring extraordinary or emergency circumstances beyond the reasonable control of the Cooperative). However, nothing in this policy shall be interpreted to require the Cooperative to extend to service portions of the subdivisions not under active development.
5. A non-refundable payment shall be made by the Applicant equal to the difference between the cost of providing underground facilities and that of providing overhead facilities. The payment to be made by the applicant shall be determined from the total footage of single-phase primary, secondary and service conductor to be installed at an average per foot cost differential in accordance with the average cost differential filed with the Public Service Commission. Where rock, shale, or other impairments are anticipated or encountered in construction, the actual increased cost of trenching and backfilling shall be borne by the Applicant.

(T)

(T)

6. The Applicant may be required to contribute the entire estimated cost of the extension. If this is done, the amount contributed in excess of the normal charge for the underground extensions, as provided in Paragraph 5 above shall be refunded to the Applicant over a ten (10) year period as provided by the Public Service Commission.

(T)

7. The Cooperative normally will perform or cause to be performed all necessary trenching and backfilling. The Applicant may elect to perform all necessary

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41. UNDERGROUND ELECTRIC SERVICE (continued)

D. Installation of Underground Distribution System Within New Subdivision

7. trenching and backfilling in accordance with the Cooperative's specification. The Cooperative shall then credit the Applicant's cost in an amount equal to the Cooperative's normal cost for trenching and backfilling. However, the Cooperative personnel must be present at the time of backfilling if the Applicant elects to trench and backfill.

(T) 8. The Cooperative shall furnish, install, and maintain the service lateral to the Applicant's meter base. The Applicant may elect to perform the trenching, conduit installation, and backfilling relative to the installation of the service lateral. This work performed by the applicant must be inspected and approved by Cooperative personnel or agents before backfilling is completed. The Cooperative shall then credit the Applicant's cost in an amount equal to the Cooperative's normal cost for such work.

9. Plans for the location of all facilities to be installed shall be approved by the Cooperative and Applicant prior to construction. Alterations in plans by the Applicant which require additional cost of installation or construction shall be at the sole expense of the Applicant.

10. The Cooperative shall not be obligated to install any facility within a subdivision until satisfactory arrangements for the payment of charges have been completed by the Applicant.

11. The charges specified in these rules are based on the premise that each Applicant will cooperate with the Cooperative in an effort to keep the cost of construction and installation of the underground electric distribution system as low as possible and make satisfactory arrangements for the payment of the above charges prior to the installation of the facilities.

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SECRETARY OF THE COMMISSION

41. UNDERGROUND ELECTRIC SERVICE (continued)

D. Installation of Underground Distribution System Within New Subdivision

12. All electrical facilities shall be installed and constructed to comply with the Rules and Regulations of the Public Service Commission, all applicable codes, and Shelby RECC specifications.

(T) 13. For all other developments that do not meet the conditions set forth in these rules,
(T) underground distribution will be installed provided a Customer Advance For Construction to the Cooperative is made in an amount equal to the difference between the Cooperative's estimated cost of underground facilities and overhead facilities, which it would otherwise provide.

42. METER TESTING

(T) Each and every watt-hour meter installed by the Cooperative on consumer's premises shall be tested periodically without charge to the consumer. Any other request for meter test shall be complied with by the Cooperative and the consumer may be required to pay a \$25.00 meter test deposit. If the meter tests more than 2% fast, the \$25.00 deposit shall be returned to the consumer and a credit, based on Public Service Commission Rules and Regulations, shall be issued by the Cooperative to the consumer. If the meter is more than 2% slow, the member shall be billed for the difference, based on Public Service Commission Rules and Regulations. If the meter tests within the Commission's limits, the \$25.00 may be retained to offset the cost of the meter test. Such tests may not be made more frequently than once each twelve (12) months.

43. TAXES

Pursuant to the authority vested in KRS 139.210, there shall be added to the bill of all applicable subscribers, the sales and use tax imposed by KRS 139.200. The Utility Gross Receipts License Tax for schools authorized by KRS 160.613 shall be added to all applicable subscribers' bills in accordance with KRS 160.617, which authorizes a rate increase for the school tax.

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RULES AND REGULATIONS

44. VOLTAGE FLUCTUATIONS CAUSED BY MEMBER OR CONSUMER

Electric service must not be used in such a manner as to cause unusual fluctuations or disturbances to the Distribution System. The Cooperative may require the consumer, at his/her own expense, to install suitable apparatus which will reasonably limit such fluctuation.

45. CONFLICT

In case of conflict between any provisions of any rate schedule and the schedule of Rules and Regulations, the rate schedule/tariff shall apply.

46. FILING AND POSTING

A copy of these Rules and Regulations, together with a copy of the Cooperative's schedule of rates and charges, shall be kept open to inspection at the office of the Cooperative.

47. CLASSIFICATION OF CONSUMERS

Classification of consumers for accounting purposes is in accordance with the prescribed RUS Manual of Accounts.

48. STANDARD NOMINAL VOLTAGES

The standard nominal voltages for single and polyphase services throughout the distribution system are as specified below. Availability of a service voltage(s) is contingent upon existing service voltages(s) and other system parameters.

<u>Single Phase (volts)</u>	<u>Three Phase (volts)</u>
120/240	120/208 Y
240/480	240 delta
7,200	240 delta, 120/240 lighting
14,400	277/480 Y
	480 delta
	7,200/12,470 Y
	14,400/24,940 Y

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RULES AND REGULATIONS

49. COOPERATIVE BILLING STATEMENT



Account # _____
Net Amount Due _____
Past Due After _____
Gross Amount Due _____
Disconnect Date _____

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BY: Stephan D. Bell
SECRETARY OF THE COMMISSION

RETURN THIS PORTION WITH PAYMENT

STATEMENT IS PAYABLE UPON RECEIPT

Account #:	Reading Dates:	From	To	Days	Used Last Yr	Daily Cost
Meter Number	Cycle	Previous Reading	Present Reading	Multiplier	KWH Usage	\$Amount
Map Location	Net Due	Past Due After	Gross Amount	Disconnect Date		
Billing Date	Payments must be IN THE OFFICE by the specified dates to avoid either a late payment charge or disconnection of electrical service for nonpayment.					
Bill Type:	Failure to receive bill does not exempt you from monthly payment, late charges, or disconnection. The gross amount is 10% higher than the net due. In the event the current monthly bill is not paid by the due date, the gross amount shall apply.					
Rate Schedule:	Account is considered paid when payment is received in our office. You should allow 4-5 days for delivery. NIGHT DEPOSITORY & DRIVE UP WINDOW are available for your convenience. If service is interrupted, check your fuses or circuit breakers. Check to see if your neighbor is off too. Report promptly if you believe trouble is on our lines.					

DATE OF ISSUE April 30, 1997 EFFECTIVE DATE April 30, 1997
ISSUED BY Dwight Bottom, Jr. President & General Mgr. Shelbyville, KY 40065
Name of Officer Title Address

colbr

FOR All Territory Served

P.S.C. No. 7

Original SHEET NO. 29

CANCELLING P.S.C. NO.

SHEET NO.

Shelby Rural Electric Cooperative Corporation
Shelbyville, Kentucky

Name of Issuing Corporation

RULES AND REGULATIONS

50. ENERGY EMERGENCY CONTROL PROGRAM - Re: PSC Admin. Case No. 353

Purpose - To provide a plan for reducing the consumption of electric energy on Shelby Rural Electric Cooperative Corporation's system in the event of a severe electric energy shortage.

For the purpose of this program, the following priority levels have been established:

- I. Essential Health and Safety Uses -- as defined in Appendix A
- II. Residential Use
- III. Commercial and Industrial Uses
- IV. Nonessential Uses -- as defined in Appendix B
- V. Interruptible Loads
- VI. Direct Load Control

PUBLIC SERVICE COMMISSION
OF KENTUCKY
EFFECTIVE

APR 30 1997

PURSUANT TO 807 KAR 5:011,
SECTION 9(1)
BY: Stephan D. Bell
SECRETARY OF THE COMMISSION

Procedures - The Wholesale Power Cooperative, East Kentucky Power Cooperative, Inc., ("EKPC"), will notify Shelby RECC in the event of a severe electric energy shortage, and the following steps will be implemented. These steps will be carried out to the extent not prohibited by contractual commitments or by order of the regulatory authorities having jurisdiction.

EKPC and Shelby RECC will take the following actions listed in priority order:

- 1. EKPC will initiate Direct Load Control and notify Shelby RECC.
- 2. EKPC will interrupt Interruptible Loads and notify Shelby RECC.
- 3. Shelby RECC will initiate its Load Reduction Procedure, Appendix C.

DATE OF ISSUE	April 30, 1997	EFFECTIVE DATE	April 30, 1997
ISSUED BY	<u>Dwight Bottom Jr.</u>	Title	President & General Mgr.
	Name of Officer		Address
			Shelbyville, KY 40065

Handwritten initials

FOR All Territory Served

P.S.C. No. 7

Original SHEET NO. 30

CANCELLING P.S.C. NO. _____

_____ SHEET NO. _____

Shelby Rural Electric Cooperative Corporation
Shelbyville, Kentucky

Name of Issuing Corporation

RULES AND REGULATIONS

50. ENERGY EMERGENCY CONTROL PROGRAM - (continued)

- 4. EKPC will notify Shelby RECC to initiate its Voltage Reduction Procedure, Appendix D.
- 5. EKPC will notify Shelby RECC and EKPC and Shelby RECC will initiate media appeal for general Voluntary Load Reduction Procedure, Appendix E.
- 6. EKPC will, in coordination with other Kentucky electric utilities, request the Governor to declare a statewide Energy Emergency.
- 7. EKPC will request Shelby RECC to initiate mandatory load reduction of up to twenty percent (20%) in five percent (5%) steps, Appendix F.

PUBLIC SERVICE COMMISSION
OF KENTUCKY
EFFECTIVE

APR 30 1997

PURSUANT TO 807 KAR 5.011,
SECTION 9(1)

BY: Stephan D. Bell
SECRETARY OF THE COMMISSION

DATE OF ISSUE April 30, 1997 EFFECTIVE DATE April 30, 1997

ISSUED BY Dudley Patton, Jr. President & General Mgr. Shelbyville, KY 40065
Name of Officer Title Address

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PUBLIC SERVICE COMMISSION
OF KENTUCKY
EFFECTIVE

FOR All Territory Served

P.S.C. No. 7

Original SHEET NO. 31

Shelby Rural Electric Cooperative Corporation
Shelbyville, Kentucky

APR 30 1997

CANCELLING P.S.C. NO. _____

Name of Issuing Corporation

PURSUANT TO 807 KAR 5:011,
SECTION 9 (1)

SHEET NO. _____

RULES AND REGULATIONS
SECRETARY OF THE COMMISSION

APPENDIX "A"

ESSENTIAL HEALTH AND SAFETY USES

Essential health and safety uses given special consideration in these procedures shall, insofar as the situation permits, include the following types of use and such other uses which the Commission may subsequently identify:

- (a) "Hospitals", which shall be limited to institutions providing medical care to patients.
- (b) "Life Support Equipment", which shall be limited to kidney machines, respirators, and similar equipment used to sustain the life of a person.
- (c) "Police Stations and Government Detention Institutions", which shall be limited to essential uses required for police activities and the operation of facilities used for the detention of persons. These uses shall include essential street, highway and signal-lighting services.
- (d) "Fire Stations", which shall be limited to facilities housing mobile fire-fighting apparatus.
- (e) "Communication Services", which shall be limited to essential uses required for telephone, telegraph, television, radio and newspaper operations.
- (f) "Water and Sewage Services", which shall be limited to essential uses required for the supply of water to a community, flood pumping and sewage disposal.
- (g) "Transportation and Defense-related Services", which shall be limited to essential uses required for the operation, guidance control and navigation of air, rail and mass transit systems, including those uses essential to the national defense and operation of state and local emergency services.
- (h) "Other Energy Source Services", which shall be limited to essential uses required for the production, transportation, transmission and distribution -- for fuel -- of natural or manufactured gas, coal, oil or gasoline.

DATE OF ISSUE April 30, 1997 EFFECTIVE DATE April 30, 1997

ISSUED BY Dudley Bottom J. President & General Mgr. Shelbyville, KY 40065
Name of Officer Title Address

cbm

FOR All Territory Served
P.S.C. No. 7
Original SHEET NO. 32
CANCELLING P.S.C. NO. _____
SHEET NO. _____

Shelby Rural Electric Cooperative Corporation
Shelbyville, Kentucky

Name of Issuing Corporation

RULES AND REGULATIONS

Appendix "A" - Essential Health and Safety Uses (continued)

- (i) "Perishable Food or Medicine", which shall be limited to refrigeration for the storage and preservation of perishable food or medicine, when that use is substantially all of the customer's load.

Although these types of uses will be given special consideration when implementing the manual load-shedding provisions of this procedure, these customers are encouraged to install emergency generation equipment if continuity of service is essential. In case of customers supplied from two utility sources, only one source will be given special consideration. Also, any other customers who, in their opinion, have critical equipment should install emergency generation equipment. Shelby RECC's specific emergency list is on file in its office.

PUBLIC SERVICE COMMISSION
OF KENTUCKY
EFFECTIVE

APR 30 1997

PURSUANT TO 807 KAR 5:011,
SECTION 9 (1)

BY: Stephan D. Bell
SECRETARY OF THE COMMISSION

DATE OF ISSUE April 30, 1997 EFFECTIVE DATE April 30, 1997
ISSUED BY Dwight B. Batten Jr. President & General Mgr. Shelbyville, KY 40065
Name of Officer Title Address

Handwritten signature

FOR All Territory Served

P.S.C. No. 7

Original SHEET NO. 33

CANCELLING P.S.C. NO. _____

_____ SHEET NO. _____

Shelby Rural Electric Cooperative Corporation
Shelbyville, Kentucky

Name of Issuing Corporation

RULES AND REGULATIONS

APPENDIX "B"

NONESSENTIAL USES

The following and similar types of uses of electric energy and others which the Commission may subsequently identify shall be considered nonessential for all customers:

- (a) Outdoor flood and advertising lighting, except for the minimum level to protect life and property, and a single illuminated sign identifying commercial facilities when operating after dark.
- (b) General interior lighting levels greater than minimum functional levels.
- (c) Show-window and display lighting.
- (d) Parking-lot lighting above minimum functional levels.
- (e) Energy use greater than that necessary to maintain a temperature of not less than 76 degrees during operation of cooling equipment and not more than 68 degrees during operation of heating equipment.
- (f) Elevator and escalator use in excess of the minimum necessary for non-peak hours of use.
- (g) Energy use greater than that which is the minimum required for lighting, heating or cooling of commercial or industrial facilities for maintenance cleaning or business-related activities during non-business hours.

**PUBLIC SERVICE COMMISSION
OF KENTUCKY
EFFECTIVE**

APR 30 1997

PURSUANT TO 807 KAR 5:011,
SECTION 9 (1)

BY: Stephan D. Bue
SECRETARY OF THE COMMISSION

DATE OF ISSUE April 30, 1997 EFFECTIVE DATE April 30, 1997

ISSUED BY Dudley Bottom Jr. President & General Mgr. Shelbyville, KY 40065
Name of Officer Title Address

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FOR All Territory Served

P.S.C. No. 7

Original SHEET NO. 34

Shelby Rural Electric Cooperative Corporation
Shelbyville, Kentucky

Name of Issuing Corporation

CANCELLING P.S.C. NO.

SHEET NO.

RULES AND REGULATIONS

PUBLIC SERVICE COMMISSION
OF KENTUCKY
EFFECTIVE

APR 30 1997

APPENDIX "C"

LOAD REDUCTION PROCEDURE

PURSUANT TO 807 KAR 5:011,
SECTION 9 (1)

BY: Stephan D. Bee
SECRETARY OF THE COMMISSION

Objective:

To reduce demand at Shelby RECC's facilities over the time period during which an electric energy shortage is anticipated.

Criteria:

This procedure is implemented when a **Load Reduction Alert** is issued. The President and General Manager has the responsibility of issuing a Load Reduction Alert.

Procedure:

1. The President and General Manager receives notice from EKPC of capacity shortage.
2. The President and General Manager is responsible for seeing that employees are participating in achieving the largest load reduction possible while still maintaining the service of the facility and not unduly jeopardizing safety.
3. Each Department Manager is responsible for seeing that employees are participating in achieving the largest load reduction possible while still maintaining the service of the facility and not unduly jeopardizing safety.
4. Examples of load reduction are:
 - turning off all but a minimum of indoor and outdoor lighting
 - turning off microcomputers, printers, copiers, and other office equipment except as they are used
 - in the winter, setting thermostats no higher than 68 degrees, and in the summer no lower than 76 degrees

DATE OF ISSUE April 30, 1997 EFFECTIVE DATE April 30, 1997

ISSUED BY Dwight Patton, Jr. President & General Mgr. Shelbyville, KY 40065
Name of Officer Title Address

Handwritten initials

FOR All Territory Served
P.S.C. No. 7
Original SHEET NO. 35
CANCELLING P.S.C. NO. _____
SHEET NO. _____

Shelby Rural Electric Cooperative Corporation
Shelbyville, Kentucky

Name of Issuing Corporation

RULES AND REGULATIONS

APPENDIX "D"

VOLTAGE REDUCTION PROCEDURE

Objective:

To reduce demand on Shelby RECC's system over the period during which an electric energy shortage is anticipated by reducing the set point on system voltage regulators.

Criteria:

This procedure is implemented when requested by EKPC System Operator.

Procedure:

Shelby RECC will immediately dispatch personnel to reduce set points on regulators as much as possible while continuing to maintain minimum voltage requirements as prescribed by the Kentucky Public Service Commission. Shelby RECC's specific plan is on file in its office.

**PUBLIC SERVICE COMMISSION
OF KENTUCKY
EFFECTIVE**

APR 30 1997

**PURSUANT TO 807 KAR 5:011,
SECTION 9 (1)**

BY: Stephan D. Bell
SECRETARY OF THE COMMISSION

DATE OF ISSUE April 30, 1997 EFFECTIVE DATE April 30, 1997
ISSUED BY Dwight Bottom, Jr. President & General Mgr. Shelbyville, KY 40065
Name of Officer Title Address

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FOR All Territory Served

P.S.C. No. 7

Original SHEET NO. 36

CANCELLING P.S.C. NO. _____

~~PUBLIC SERVICE COMMISSION~~
OF KENTUCKY
EFFECTIVE

Shelby Rural Electric Cooperative Corporation
Shelbyville, Kentucky

Name of Issuing Corporation

RULES AND REGULATIONS

APPENDIX "E"

APR 30 1997

VOLUNTARY LOAD REDUCTION PROCEDURE

PURSUANT TO 807 KAR 5:011,
SECTION 9 (1)

BY: Stephan D. Bell
SECRETARY OF THE COMMISSION

Objective:

To reduce demand on Shelby RECC's system over the period during which an electric energy shortage is anticipated through media appeal for consumers to curtail energy use.

Criteria:

This procedure is implemented when requested by EKPC Marketing and Communications Division personnel.

Procedure:

Notify the following radio stations: WHAS, Louisville; WIKI, Carrollton; WKX, Eminence; and WCND, Shelbyville of the electrical shortage and ask them to make the public service announcement recommended by EKPC personnel. An example announcement is as follows:

"Attention all Rural Electric Members:

Shelby RECC is experiencing a critical shortage of electricity to its members, and is requesting that all non-essential electrical appliances and lighting be turned off, and thermostats be lowered/raised immediately until (time of emergency).

Shelby RECC is encountering record high usage of electricity during this period of extreme low/high temperatures, and to help us keep from having a power blackout in you area, we need your help NOW until (time of emergency).

Please turn off all electricity you do not have to have on.

Thank you for your cooperation."

Notify the following industrial or large commercial consumers to request them to curtail their energy use as well: Shelby RECC's specific industrial or large commercial consumer listing is on file in its office.

DATE OF ISSUE April 30, 1997 EFFECTIVE DATE April 30, 1997

ISSUED BY Dwight Bottom Jr. President & General Mgr. Shelbyville, KY 40065
Name of Officer Title Address

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Shelby Rural Electric Cooperative Corporation
Shelbyville, Kentucky

Name of Issuing Corporation

FOR All Territory Served

P.S.C. No. 7

Original SHEET NO. 37

CANCELLING P.S.C. NO.

SHEET NO.

RULES AND REGULATIONS

APPENDIX "F"

MANDATORY LOAD CURTAILMENT PROCEDURE

Objective:

To reduce demand on Shelby RECC's system over the period during which an electric energy shortage is anticipated by interrupting firm consumer load in five percent (5%) blocks up to a total of twenty percent (20%) of the system load.

Criteria:

This procedure is implemented when requested by the EKPC System Operator. This procedure will only be requested after the Governor of Kentucky has issued a statewide State of Emergency Order.

Procedures:

Shelby RECC will immediately dispatch personnel to interrupt service to member consumer loads to achieve the reduction requested by EKPC. This may be achieved by interrupting services to certain nonessential loads for the entire period of the emergency or by rotating outages to various substation feeder circuits. Shelby RECC's specific plan is on file in its office.

PUBLIC SERVICE COMMISSION
OF KENTUCKY
EFFECTIVE

APR 30 1997

PURSUANT TO 807 KAR 5:011,
SECTION 9 (1)

BY: Stephan Bue
SECRETARY OF THE COMMISSION

DATE OF ISSUE	April 30, 1997	EFFECTIVE DATE	April 30, 1997
ISSUED BY	<u>Dusley Bottom, Jr.</u>	Title	President & General Mgr.
Name of Officer		Address	Shelbyville, KY 40065

cb/g

Shelby Rural Electric Cooperative Corporation
Shelbyville, Kentucky

RULES AND REGULATIONS

31. RESALE OF POWER BY MEMBERS

All purchased electric service used on the premises of the members shall be supplied exclusively by the Cooperative, and the member shall not directly or indirectly sell, sublet, or otherwise dispose of the electric service or any part thereof, except by written contract approved by the Board of Directors of this Cooperative.

32. METER BASES

Meter bases are provided by the Cooperative for all normal new services and all normal service upratings. Special designs or unusual situations may require a contribution from the member.

(T) 33. METER READING

Contract personnel will read electric meters monthly. Meters with minimum usage may be read quarterly or annually.

34. SEPARATE METER FOR EACH SERVICE

The Cooperative will normally furnish a single meter at the point of connection to the consumer's premises. Any consumer desiring service at two or more separately metered points of connection to the system shall be billed separately at each point and the registration of such meters shall not be added for billing purposes. Only one residence may be served for each meter location.

PUBLIC SERVICE COMMISSION
OF KENTUCKY
EFFECTIVE

MAY 1 1994

PURSUANT TO 807 KAR 5:011,
SECTION 9 (1)

BY: [Signature]
PUBLIC SERVICE COMMISSION MANAGER

DATE OF ISSUE January 10, 1994 DATE EFFECTIVE May 1, 1994
Month Day Year Month Day Year
ISSUED BY Thomas Barker, Jr. President & General Manager Shelbyville, KY 40666
Name of Officer Title Address C6/97

P.S.C. NO. 5

Original SHEET NO. 17

CANCELLING P.S.C. NO. _____

_____ SHEET NO. _____

Shelby Rural Electric Cooperative Corporation

Shelbyville, Kentucky

Name of Issuing Corporation

CLASSIFICATION OF SERVICE

ENERGY EMERGENCY CONTROL PROGRAM

RATE
PER UNIT

The Energy Emergency Control Program as filed with the Kentucky Energy Regulatory Commission (now the Public Service Commission) on February 23, 1981, in Administrative Case No. 240 and as approved by the Commission Order of March 31, 1981, is applicable to all Shelby Rural Electric Cooperative Corporation tariffs as filed with the Kentucky Public Service Commission.

CHECKED
Public Service Commission
MAY 11 1981
by S. Richmond
RATES AND TARIFFS

DATE OF ISSUE March 31, 1981

DATE EFFECTIVE March 31, 1981

ISSUED BY Thomas Barber
Name of Officer

TITLE Manager

Issued by authority of an Order of the Public Service Commission of Kentucky in
Case No. 240 dated March 31, 1981.

cdg

APPLICATION

For Membership and For Electric Service

The undersigned (hereinafter called the Applicant) hereby applies for membership in and agrees to purchase electric energy from Shelby Rural Electric Cooperative Corporation of Shelbyville, Ky., (hereinafter called the "Corporation"), upon the following terms and conditions:

1. The Applicant will pay to the Corporation the sum of \$10.00, which if this application is accepted by the Corporation, will constitute the Applicant's membership.

2. The Applicant will, when electric energy becomes available, purchase from the Corporation all electric energy used on the premises described below and will pay therefor monthly at rates which will be fixed by the Board of Directors of the Corporation. The Applicant will pay a bill of at least \$_____ per month regardless of the number of kilowatt hours consumed.

3. The Applicant will cause his premises to be wired in accordance with wiring specifications approved by the Corporation. The Applicant's house is approximately _____ feet from the proposed distribution line of the Corporation or from the road.

4. The Applicant will comply with and be bound by the provisions of the charter and by-laws and/or amendments thereof of the Corporation and such rules and regulations as may, from time to time, be adopted by the Corporation.

5. The undersigned will grant to the Corporation at its request the necessary rights, privileges and easements to construct, operate, replace, repair and perpetually maintain on the property owned or occupied by the undersigned its line or lines for the transmission or distribution of electric energy, and will execute and deliver to the Corporation any conveyance, grant, or instrument which the Corporation shall deem necessary or convenient for said purposes or any of them. All service lines supplying the undersigned with electric energy and all switches, meters and other appliances and equipment constructed or installed by the Corporation on said property, except so much thereof, if any, as shall be paid for by the undersigned, shall at all times be the sole property of the Corporation and the Corporation shall have the right of access to said property and to repair and service, and upon the discontinuance of service for any reason, to remove the same.

6. Sixty cents of patronage dividend or refund accumulated by Shelby Rural Electric Cooperative Corporation each year, is to be used for subscription to the Rural Kentuckian.

The acceptance of this application by the Corporation shall constitute a contract between the Applicant and the Corporation, and in further consideration of said acceptance and the installation of facilities by the Corporation to provide the Applicant with electric energy, the Applicant agrees to take said energy from the Corporation, so long as he occupies the premises described below.

Dated _____, 19_____

Witness _____

The above applicant for membership accepted this
_____ day of _____, 19_____

SHELBY RURAL ELECTRIC COOPERATIVE CORPORATION

By _____

Applicant _____

CHECKED

PUBLIC SERVICE COMMISSION

Post Office Address

SEP 18 1970

ENGINEERING DIVISION

LAND DESCRIPTION _____

_____ acres located on _____ side

of _____ road approximately _____

_____ miles N-E-S-W from _____

Joined on North by _____

Joined on East by _____

Joined on South by _____

Joined on West by _____

Owner _____

Address _____

Title _____

Chlor

Shelby Rural Electric Cooperative Corporation
Shelbyville, Kentucky

P.S.C. No. 4
Section C - Exhibits
Original Sheet No. 2

EXHIBIT NO. 2

APPLICATION
FOR REMOVAL OF ELECTRIC SERVICE

TO:

Shelby Rural Electric Cooperative Corporation
Shelbyville, Kentucky 40065

Gentlemen:

The undersigned owner(s) of that real estate located in _____ County,
Kentucky, and described as follows:

hereby request you to remove from said property your poles, lines, meters, and/or other
electric service equipment. In consideration of said removal it is agreed that any replace-
ment or reinstallation of your electric service equipment on said property made within five
years of the date of this application shall be made by your agents or employees at the expense
of the then owner of said real estate.

This _____ day of _____, 19 _____.

CHECKED
PUBLIC SERVICE COMMISSION
SEP 18 1970
by SSC
ENGINEERING DIVISION

Accepted and approved this _____ day of _____, 19 _____.

SHELBY RURAL ELECTRIC COOPERATIVE CORP.

By: _____
Manager

Handwritten initials/signature

SHELBY RURAL ELECTRIC COOPERATIVE CORPORATION
Shelbyville, Kentucky

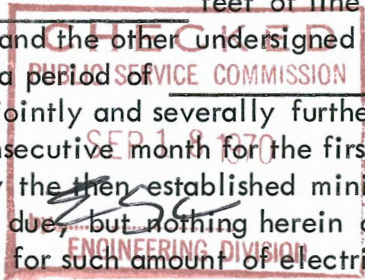
MOBILE HOME AGREEMENT

Name _____ Account No. _____

Address _____ Work Order No. _____

TO: Shelby Rural Electric Cooperative Corporation
Shelbyville, Kentucky

In consideration of your construction of approximately _____ feet of line to serve the premises of the undersigned owner, we, the undersigned owners, and the other undersigned parties to this agreement, jointly and severally agree to pay for service for a period of _____ consecutive months beginning on the date service becomes available. We jointly and severally further agree to pay the monthly minimum charge of \$ _____ for each consecutive month for the first twelve (12) months of use and for the balance, if any, of said period to pay the then established minimum basic rate, each consecutive monthly payment to be made promptly when due, but nothing herein contained shall be construed to relieve the member of the obligation to pay for such amount of electricity as may actually be consumed.



We, each of the undersigned, understand that we and each of us are bound personally to make the minimum payments above provided, for the full length of time set out above, regardless of whether the premises above referred to may be vacated by us or not, and we further understand that the within agreement is binding on our heirs and assigns.

Signed this the _____ day of _____, 19 _____.

Signed _____
Owner

Address

Accepted:

Shelby Rural Electric
Cooperative Corporation

Signed _____
Tenant

Address

By: _____

Signed _____
Surety

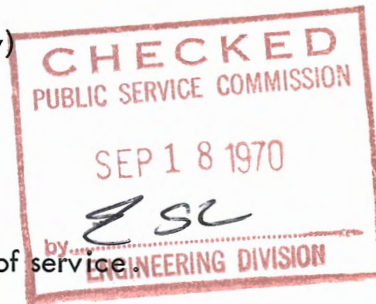
Title

Address

cdg

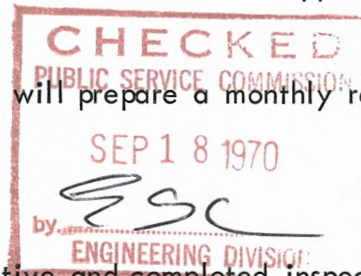
PROCEDURE FOR HANDLING ELECTRICAL INSPECTIONS

- A. No service will be permanently connected until such service has passed "Final" inspection and bears tag of approval.
- B. Request for inspections will be made either by phone or in person. However, no inspection will be made until inspection fee payment has been received and recorded at Cooperative office. Only General Office personnel are permitted to accept inspection payments.
- C. Information needed to be filled out on both Inspection Request Card and Inspection Check-list sheet: (Cashier - Receptionist responsibility)
- (1) Type and size of service.
 - (2) Rough in or final inspection.
 - (3) Member's name, address, and exact location of service
 - (4) Name of electrical contractor.
 - (5) Amount paid for inspection.
 - (6) Inspector assigned.
- D. The General Office personnel (usually Cashier - Receptionist) taking the request should explain Cooperative policy concerning the two inspections, fees charged, and that Cooperative will need advance notice as to when job is ready for inspection.
- E. Member and/or contractor shall notify Cooperative at least one (1) day in advance that job is ready for inspection.



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- F. When an inspection fee is collected a white cash ticket will be made out with the member's name and individual's name paying fee, both on ticket. Member's name will appear at top of ticket and other name on the side. The amount of fee will go into account No. 587. The Cashier - Receptionist will record fees paid in ledger book. (See attached sheet for inspection fee schedule).
- G. There will be three inspectors making electrical inspections. Only one inspector will be licensed and he in turn will inspect all commercial jobs. Raymond Tingle will inspect in Shelby County; William Nichols - Henry County; and, Jonathan Morse - Trimble County. Morse will be assigned all commercial inspections.
- H. Inspectors will pick up Inspection Request Cards and Check Sheets from Cashier - Receptionist, daily. Inspectors will return both forms to Receptionist after rough-in and final inspections have been made. It shall be the responsibility of Receptionist to issue "Permanent" connect on jobs after inspections are made and approved.
- I. The Secretary of the Member Services Department will prepare a monthly report on the status of all inspections.
- J. Cashier - Receptionist will keep a file on both active and completed inspections.
- K. Cashier - Receptionist will refer technical questions on electrical code to Member Services Department.



Chlar

I N S P E C T I O N R A T E S

APPLICABLE TO

SHELBY RURAL ELECTRIC COOPERATIVE CORPORATION
Shelbyville, Kentucky

* * * * *

All Rough-In Inspections	\$ 5.00
Additional Wiring (Existing Buildings)	\$ 5.00
Re-Inspections (Each)	\$ 5.00
Final Inspections :	
- Commercial Building Or Job	\$10.00
- Residential :	
- 60 Ampere Service	\$ 5.00
- 100 Ampere Service	\$ 5.00
- 200 Ampere Service	\$ 5.00

PLEASE NOTE: All inspections shall be paid to Shelby RECC prior to
Time inspection is made.



Special attention is called to the fact that work concealed in any manner which will not permit FULL inspection, will not be accepted; and for each re-inspection a charge of \$5.00 will be made.

algar

Shelby Rural Electric Cooperative Corporation
Shelbyville, Kentucky

SHELBY RURAL ELECTRIC COOPERATIVE CORPORATION
Shelbyville, Kentucky

RURAL LIGHT LEASE AGREEMENT

THE SHELBY RURAL ELECTRIC COOPERATIVE CORPORATION, hereinafter called the Co-operative, and _____, a member of the Cooperative, hereinafter called the member, hereby mutually agree as follows:

1. The Cooperative will furnish, install and make all necessary electrical connections, at no expense to the member, a lighting unit of 8,000 lumen, Mercury Vapor type, on a twenty-five (25) foot pole or an existing Cooperative owned pole at a location suitable to both parties. Location, however, shall not be more than 100 feet from an existing 120 volt Cooperative owned secondary line.

2. The Cooperative shall furnish electric power for the lighting unit which shall be controlled by a photocell to energize the unit from dusk to dawn.

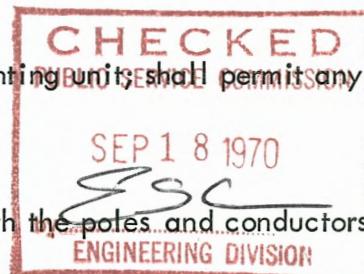
3. Service for the above unit shall be unmetered and billed on the members monthly bill for other electrical service furnished by the Cooperative at a rate of \$3.00 each and every month.

4. The Cooperative will maintain the unit free of charge. Burned out lamps will be replaced by the Cooperative at no charge to the member.

5. The member agrees to furnish the location for the lighting unit, shall permit any tree(s) trimming required for the conductors or unit installation.

6. The member further agrees that the unit, together with the poles and conductors, may be removed at any time by the Cooperative upon failure of the member to pay the charges set forth herein in accordance with the Cooperative's established rules for billing and collecting electric accounts.

7. The minimum term for this agreement shall be one year. Service may be terminated by the member upon any anniversary date of this agreement.



clear

Shelby Rural Electric Cooperative Corporation
Shelbyville, Kentucky

8. It is mutually agreed that the unit installed remains the property of the Cooperative and will be removed at the request of the member when this agreement is terminated in accordance with the provisions contained herein.

9. The member agrees to exercise proper care to protect the property of the Cooperative on its premises and in the event of loss or damage to the Cooperative's property arising from negligence of member to care for same the cost of necessary repair or replacement shall be paid by the member.

10. It is mutually agreed that service interruptions to the lighting unit shall be reported by the member to the Cooperative. Replacement of lamps and all other necessary repairs shall be made only during the regular working hours of the Cooperative's service personnel as soon as possible after notice of such interruption of service is received. No reduction will be made to the member's monthly charge under this agreement for service interruption time due to lamp failure or other cause beyond the control of the Cooperative.

SHELBY RURAL ELECTRIC COOPERATIVE CORPORATION

Approved By _____

Manager **CHECKED**
PUBLIC SERVICE COMMISSION

Member **SEP 18 1970**

Address _____

by *ESC*
ENGINEERING DIVISION Account Number

Date _____

Contract secured by _____

Date Installed _____

_____ Engineer

Meter Book _____

_____ Clerk

Ledger Book _____

_____ Clerk

cel